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Douglas Wade Height

Printed Name

Douglas Wade Height

Signature

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : DOUGLAS WADE BEIGHT, ET AL.	)
	)
The Accompanying Application	)
Divisonal of Serial No. 09/857,751	)
	) Group Art Unit:
Filed :	) 1625
	)
For : AROMATIC AMIDES	) Examiner:
	) E.M. Huang
Docket No. : X-11792B	)
	)
Confirmation No:	)

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, under 37 C.F.R. § 1.97(b) Applicants submit an "Information Disclosure Citation in an Application" on a modified Form PTO-1449 for consideration by the Examiner.

As permitted by 37 C.F.R. § 1.98(d), Applicants refer to parent application Serial No. 09/857,751, for copies of the listed documents.

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Documents BA, BE - BF and CB-CC on the Form PTO-1449 were cited in the International Search Report of the parent application. A copy of the International Search Report is included with the published application as a part of the Specification on filing.

Other documents listed on the Form PTO-1449 are the following:

Document CA, a review article disclosed at text page 2, lines 25-27, in the description.

Documents AA and AB, U.S. applications from which Document BE, an international application, claims priority. Document AC is a U.S. patent (US 6,140,351) granted on application AB, and Document AD is a U.S. patent (US 6,380,221), which is a divisional of Document AC.

Documents BB and BC, international applications with the same international application date as documents BA and BD. Each of Documents BA - BD has a U.S. counterpart patent and at least one divisional application pending. Documents BG - BI further international applications with the same international application date as the instant application, each of which discloses and claims compounds which are factor Xa inhibitors; and each of which has a U.S. application pending. For compliance with 37 C.F.R. § 1.98(2), the following list of patent numbers, publication numbers or serial numbers is provided. It is believed that the published international applications suffice to provide the specification including the claims for the cited applications (some of which are

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subject to requirements for restriction and may have divisional applications). However, should more detailed information be required by the Examiner, Applicants will endeavor to provide it promptly.

<u>(Doc)</u>	<u>Document No.</u>	<u>U.S. counterparts &amp; divisionals</u>
(BA)	WO 99/00121	US 6,313,122 B1 US-2002-0120007-A1 (09/961,164)
(BB)	WO 99/00126	US 6,313,151 B1 US 6,583,173 B2 US 6,586,459 B2
(BC)	WO 99/00127	US 6,417,200 B1 10/133,907
(BD)	WO 99/00128	US 6,372,759 B1 US 6,500,851 B2
(BG)	WO 00/39092	09/857,748
(BH)	WO 00/39111	09/857,747 (and divisionals)
(BI)	WO 00/39117	09/857,749

It is believed that the subject matter claimed in the instant application is patentably distinct from that of each of the granted US patents and copending applications listed as corresponding to Documents BA - BD and BG - BI; and, moreover, that the subject matter claimed in the instant application and that of each of the applications were, at the time the inventions were made, owned by the same person or subject to an obligation of assignment to the same person.

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Applicants request consideration of this information.

Respectfully submitted,



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25 July 2003

Enclosure: Form PTO-1449 (modified)

FORM PTO 1449 (modified)		Atty. Docket No. X-11792B	Div. of Serial No 09/857,751
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicants Douglas Wade Beight, et al.	
		Filing Date	Group 1624

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. 1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear
	AA	US 08/994,284	12/1997	Priority for WO99/32477	
	AB	US 09/187,459	11/1998	Priority for WO99/32477	
	AC	US 6,140,351	10/2000	Arnaiz, et al.	
	AD	US 6,380,221	4/2002	Arnaiz, et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Foreign Patent Document Country Code <sup>3</sup> -Number 4-Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	WO 99/00121	1/1999	WIPO		
	BB	WO 99/00126	1/1999	WIPO		
	BC	WO 99/00127	1/1999	WIPO		
	BD	WO 99/00128	1/1999	WIPO		
	BE	WO 99/32477	7/1999	WIPO		
	BF	WO 96/28427	9/1996	WIPO		
	BG	WO 00/39092	7/2000	WIPO		
	BH	WO 00/39111	7/2000	WIPO		
	BI	WO 00/39117	7/2000	WIPO		
	BJ					
	BK					

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicants Douglas Wade Beight, et al.	
	Filing Date	Group 1624

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	T <sup>6</sup>
	CA	Vacca, Joseph P. (Annette M. Doherty Section Editor), Annual Reports in Medicinal Chemistry, (1998), 33, 81-90.	
	CB	Current Pharmaceutical Design, 1996, 2., "Factor Xa Inhibitors," Kunitada, Satoshi, et al., pages 531-542	
	CC	Katakura, S., et al., Eur. J. Med. Chem., (1995), 30, 387-394.	
	CD		
	CE		
	CF		
	CG		
	CH		
	CI		
	CJ		
	CK		
	CL		
	CM		
	CN		
	CO		
	CP		

Examiner Signature	Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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